



Environmental and Social Management System, Annex 1

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| Proposing organization | |
| Acronym/Short name | |
| Proposal title | |
| Proposal ID | |
| Type of organization | |
| Date (DD/MM/YYYY) | |
| Focal Point | |
| Email | |

Version



Tool 1 BCA Fund ESMS

Version: _____
 Proponent: _____
 Proposal: _____
 Date: _____

Checklist of activities excluded from the BCA Fund

| # | Activity: A set of specific actions, interventions, or tasks fully or partially funded by the BCA Fund, carried out within the framework of a project or grant. | YES/NO | Explanation (for YES answers) | Notes/comments from the BCA Fund reviewer |
|----|--|--------|-------------------------------|---|
| 1 | Activities prohibited or considered illegal under international conventions signed by Ecuador | | | |
| 2 | Activities that employ forced labor, child labor, or exploitative labor practices, including any form of involuntary or compulsory labor (e.g., bonded labor, servitude, forced labor). | | | |
| 3 | Activities that promote or exacerbate violence, discrimination, or retaliation; that discourage worker representation or collective bargaining; or that create exploitative conditions. | | | |
| 4 | Activities involving the arming of park rangers and security personnel, as well as activities involving the direct employment of armed security personnel. | | | |
| 5 | Activities involving the forced eviction or involuntary resettlement of communities. | | | |
| 6 | Activities that result in the conversion or degradation of High Conservation Value Areas, critical ecosystems, or that significantly alter potentially important biodiversity. In particular, activities that pose a clear risk of significant changes in land use or the loss of natural areas such as wetlands and upland forests, which mitigate the effects of natural hazards such as floods and landslides, or actions that increase vulnerability to natural hazards and threats to community safety. | | | |
| 7 | Activities that lead to large-scale or unsustainable exploitation of natural resources, including wildlife, timber, and non-timber forest products (NTFPs), or that involve the use and/or acquisition of wildlife or products regulated by the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES). | | | |
| 8 | Activities involving the use, production, trade, or acquisition of: (a) Highly hazardous chemicals or pesticides, including those specified as persistent organic pollutants (POPs) under the Stockholm Convention, products classified by the WHO as Class IA and IB, or Class II products, unless they are used solely by specially trained personnel wearing appropriate protective equipment; (b) Materials considered illegal under the host country's laws or regulations or under international conventions and agreements, including (but not limited to) the manufacture, trade, or use of hazardous chemicals and materials subject to international bans or phase-outs, or those with characteristics of environmental persistence, bioaccumulation potential, or ozone-depleting potential; and (c) Any activity that generates air-, water-, or soil-borne pollutants posing unacceptable environmental or human health risks. | | | |
| 9 | Activities that deliberately introduce invasive alien species into the project area or the surrounding region, promote their spread, or increase their competitiveness relative to native flora and fauna | | | |
| 10 | Activities that result in the removal, alteration, or disturbance of any cultural heritage (tangible or intangible) without mitigation measures and, where applicable, without the free, prior, and informed consent (FPIC) of affected communities, particularly indigenous peoples. | | | |



I declare that I reviewed all activities and none correspond to the exclusion list
 Declaration of Signature Replacement
 By entering your full name in the box below, you acknowledge that this action has the same validity as a traditional handwritten signature and that this document is considered official and binding.
 Name: _____

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I, Heather Hayes, with national ID number 1719847715, hereby certify that the attached is a complete and accurate English translation of the original Spanish language version of the attached document: *Herramientas 1_2_3 SGAS_FBCA*, and that I am competent in both the English and Spanish languages to render such translation.

Signature of Translator

Translator:

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Address

March 31, 2026

Date

**The translator is a U.S. citizen, certified translator, and member No. 66 of ATIEC (The Ecuadorian Association of Translators and Interpreters), as well as an accredited court translator for Ecuador with accreditation number 18-00207-00006*

